

## Account Opening Form

|               |   |   |   |   |   |         |   |   |  |  |  |  |
|---------------|---|---|---|---|---|---------|---|---|--|--|--|--|
| Name:         |   |   |   |   |   |         |   |   |  |  |  |  |
| Client Code:  | 0 | 0 | 0 | 0 |   |         |   |   |  |  |  |  |
| B.O. ID No:   | 1 | 2 | 0 | 5 | 6 | 8       | 0 | 0 |  |  |  |  |
| Address:      |   |   |   |   |   |         |   |   |  |  |  |  |
|               |   |   |   |   |   |         |   |   |  |  |  |  |
| Phone:        |   |   |   |   |   | E-mail: |   |   |  |  |  |  |
| Name (Joint): |   |   |   |   |   |         |   |   |  |  |  |  |

## একাউন্ট খোলার জন্য যা যা প্রয়োজন :

### BO আবেদনকারী ব্যক্তি শ্রেণীর হলে :

১. আবেদনকারীর সদ্য তোলা ২ কপি পাসপোর্ট সাইজের রঙিন ছবি (ছবির পেছনে আবেদনকারীর নাম ও স্বাক্ষর দিতে হবে এবং Introducer কর্তৃক সত্যায়িত হতে হবে)।
২. National ID কার্ডের ফটোকপি (ID কার্ড দেওয়া কার্ডধারীর অনুরূপ স্বাক্ষর দিতে হবে অথবা ব্যাংক সার্টিফিকেট দিতে হবে)।
৩. নমিনীর ১ কপি পাসপোর্ট সাইজের রঙিন ছবি (ছবির পেছনে নমিনীর নাম ও স্বাক্ষর দিতে হবে এবং A/C Holder কর্তৃক সত্যায়িত হতে হবে)।
৪. আবেদনকারী Joint Holder হলে তার সদ্য তোলা ২ কপি পাসপোর্ট সাইজের রঙিন ছবি এবং ID কার্ডের ফটোকপি অথবা Valid পাসপোর্টের ফটোকপি যা প্রথম শ্রেণীর গেজেটেড দ্বারা সত্যায়িত।
৫. ব্যাংক সার্টিফিকেট অথবা ব্যাংক স্টেটমেন্ট।

### BO আবেদনকারী এক মালিকানা কারবার বা Sole Proprietorship হলে :

১. ব্যবসায়ের মালিকের সদ্য তোলা ২ কপি পাসপোর্ট সাইজের ছবি (ছবির পেছনে মালিকের নাম ও স্বাক্ষর দিতে হবে এবং Introducer কর্তৃক সত্যায়িত হতে হবে)।
২. Valid Trade License এর ফটোকপি।
৩. ব্যবসায়ের মালিকের ID কার্ডের ফটোকপি (ID কার্ড দেওয়া কার্ডধারীর অনুরূপ স্বাক্ষর ফটোকপিতেও দিতে হবে)।
৪. ব্যাংক সার্টিফিকেট অথবা ব্যাংক স্টেটমেন্ট।

### BO আবেদনকারী RJSC কর্তৃক নিবন্ধিত লিমিটেড কোম্পানী হলে :

১. কোম্পানীর স্বাক্ষরলিপি ও পরিমেল নিয়মাবলীর ফটোকপি।
২. কোম্পানীর Certificate of Incorporation এর ফটোকপি।
৩. কোম্পানীর Board of Resolutions এর কপি (রেজুলেশনে BO হিসাব খোলা, BO হিসাব পরিচালনাকারীর নাম ও শেয়ার বিনিয়োগ সংক্রান্ত সিদ্ধান্তের উল্লেখ থাকতে হবে)।
৪. BO হিসাব পরিচালনাকারীর ২ কপি সদ্য তোলা পাসপোর্ট সাইজের রঙিন ছবি (ছবির পেছনে হিসাব পরিচালনাকারীর নাম ও স্বাক্ষর দিতে হবে এবং Introducer কর্তৃক সত্যায়িত হতে হবে)।
৫. ব্যাংক সার্টিফিকেট অথবা ব্যাংক স্টেটমেন্ট।

### BO আবেদনকারী NRB হলে :

১. আবেদনকারীর সদ্য তোলা ২ কপি পাসপোর্ট সাইজের রঙিন ছবি (ছবির পেছনে আবেদনকারীর নাম ও স্বাক্ষর দিতে হবে এবং Introducer কর্তৃক সত্যায়িত হতে হবে)।
২. Valid পাসপোর্ট ও ভিসার ফটোকপি।
৩. ব্যাংক সার্টিফিকেট (FCD হিসাব), আবেদনকারীকে একটি Local Currency হিসাবও খুলতে হবে।
৪. Work Permit অথবা Salary Statement
৫. আবেদনকারী ব্যবসায়ী হলে ব্যবসায় সংক্রান্ত দলিল পত্রাদি।
৬. Power of Attorney করলে তার ১ কপি সদ্য তোলা পাসপোর্ট সাইজের রঙিন ছবি (ছবির পেছনে Power of Attorney -র নাম ও স্বাক্ষর দিতে হবে এবং A/C Holder কর্তৃক সত্যায়িত হতে হবে)। )। জুডিশিয়াল স্ট্যাম্প এ নোটারী পাবলিক কর্তৃক বিস্তারিত বিবরণ দিতে হবে।
৭. নমিনীর ১ কপি সদ্য তোলা পাসপোর্ট সাইজের রঙিন ছবি (ছবির পেছনে নমিনীর নাম ও স্বাক্ষর দিতে হবে এবং A/C Holder কর্তৃক সত্যায়িত হতে হবে)।
৮. আবেদনকারী Joint Holder হলে তার সদ্য তোলা পাসপোর্ট সাইজের ২ কপি রঙিন ছবি এবং Valid পাসপোর্ট ও ভিসার ফটোকপি (Joint Holder কে NRB হতে হবে)।

### BO আবেদনকারী সমবায় সমিতি, কোন ফান্ড, ট্রাস্টি ইত্যাদি হলে :

১. আবেদনকারীর গঠনতন্ত্রের ফটোকপি।
২. পরিচালনা পরিষদের তালিকা।
৩. রেজিস্ট্রেশন সার্টিফিকেট।
৪. Trusty Deed (তথ্যমাত্র ট্রাস্টি একাউন্ট এর জন্য)।
৫. Board of Resolutions (রেজুলেশনে BO হিসাব খোলা, BO হিসাব পরিচালনাকারীর নাম ও শেয়ার বিনিয়োগ সংক্রান্ত সিদ্ধান্তের উল্লেখ থাকতে হবে)।
৬. BO হিসাব পরিচালনাকারীর ২ কপি সদ্য তোলা পাসপোর্ট সাইজের রঙিন ছবি ( ছবির পেছনে হিসাব পরিচালনাকারীর নাম ও স্বাক্ষর দিতে হবে এবং Introducer কর্তৃক সত্যায়িত হতে হবে)।
৭. BO হিসাব পরিচালনাকারীর ID কার্ডের ফটোকপি (ID কার্ড দেওয়া কার্ডধারীর অনুরূপ স্বাক্ষর ফটোকপিতেও দিতে হবে অথবা ব্যাংক সার্টিফিকেট দিতে হবে)।
৮. ব্যাংক সার্টিফিকেট অথবা ব্যাংক স্টেটমেন্ট।

BO Account এর Yearly Renewal Fee ৩০ জুনের মধ্যে পরিশোধ করুন এবং আপনার একাউন্টটি সচল রাখুন।

১৮ বছর বয়সের উর্ধ্বে যে কোন সুস্থ নাগরিক একক নামে একটি এবং যৌথ নামে একটি সর্বমোট ২ টি BO Account খুলতে পারবে।

বিঃ দ্রঃ যে কোন পরিস্থিতিতে কোম্পানীর সিদ্ধান্ত চূড়ান্ত বলে গণ্য হবে।



|  |  |
|--|--|
| Photograph<br>of<br>1st Account Holder | Photograph<br>of<br>Joint Account Holder |
|--|--|

**CUSTOMER ACCOUNT OPENING INFORMATION FORM**

Form 1A [See rule 8(1) (ccc)]

Date: 

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| d | d | m | m | y | y | y | y |
|---|---|---|---|---|---|---|---|

 BO ID No. 

|   |   |   |   |   |   |   |   |  |  |  |  |  |  |  |  |  |  |
|---|---|---|---|---|---|---|---|--|--|--|--|--|--|--|--|--|--|
| 1 | 2 | 0 | 5 | 6 | 8 | 0 | 0 |  |  |  |  |  |  |  |  |  |  |
|---|---|---|---|---|---|---|---|--|--|--|--|--|--|--|--|--|--|

Customer Code: 

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|

Account Status: Individual ☐ Joint ☐ Company ☐ Special remarks: NRB: Yes ☐ No ☐ Account Type: Cash ☐ Margin ☐

Name of the Customer/Account Holder 

|  |
|--|
|  |
|--|

CEO's (in case of firm or company) Name: 

|  |
|--|
|  |
|--|

Father's/Husband's Name: 

|  |
|--|
|  |
|--|

Mother's Name: 

|  |
|--|
|  |
|--|

Present Address: 

|  |
|--|
|  |
|--|

Permanent Address: 

|  |
|--|
|  |
|--|

Date of Birth: 

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| d | d | m | m | y | y | y | y |
|---|---|---|---|---|---|---|---|

 Sex: Male ☐ Female ☐ Nationality: 

|  |
|--|
|  |
|--|

Phone No. 

|  |
|--|
|  |
|--|

 Mobile No. 

|  |
|--|
|  |
|--|

 Fax No. 

|  |
|--|
|  |
|--|

 E-mail No. 

|  |
|--|
|  |
|--|

Joint Account Holder Name 

|  |
|--|
|  |
|--|

Father's/Husband's Name: 

|  |
|--|
|  |
|--|

Mother's Name: 

|  |
|--|
|  |
|--|

Present Address: 

|  |
|--|
|  |
|--|

Permanent Address: 

|  |
|--|
|  |
|--|

Date of Birth: 

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| d | d | m | m | y | y | y | y |
|---|---|---|---|---|---|---|---|

 Sex: Male ☐ Female ☐ Nationality: 

|  |
|--|
|  |
|--|

Phone No. 

|  |
|--|
|  |
|--|

 Mobile No. 

|  |
|--|
|  |
|--|

 Fax No. 

|  |
|--|
|  |
|--|

 E-mail No. 

|  |
|--|
|  |
|--|

Name with address of the Authorized person of the account Holder (if applicable): 

|  |
|--|
|  |
|--|

|  |
|--|
|  |
|--|

Officer of Director of any Stock Exchange/Listed Company? Yes ☐ No ☐

If yes, Name & Address of the Stock Exchange/Listed Company 

|  |
|--|
|  |
|--|

Name & Address of the Person, introducing the account Holder 

|  |
|--|
|  |
|--|

|  |
|--|
|  |
|--|

 Account Number of the Introducer (if any) 

|  |
|--|
|  |
|--|

Special instruction (if any) 

|  |
|--|
|  |
|--|

|  |  |  |  |  |  |
|--|--|--|--|--|--|
| <table border="1"><tr><td></td></tr></table><br>Date & Signature of Introducer |  | <table border="1"><tr><td></td></tr></table><br>Date & Signature of authorized Person (if any) |  | <table border="1"><tr><td></td></tr></table><br>Date & Signature of Account Holder |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

|  |  |  |  |  |  |
|--|--|--|--|--|--|
| <table border="1"><tr><td></td></tr></table><br>Date & Signature of Joint Account Holder |  | <table border="1"><tr><td></td></tr></table><br>Authorized Signatory Accepting the Account |  | <table border="1"><tr><td></td></tr></table><br>Authorized Signature |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

## TERMS AND CONDITIONS FOR OPENING A MARGIN ACCOUNT

### 1. DEFINITIONS

- "BROKER" means SAHCO Securities Ltd. and includes successors and interest.
  - "CLIENT" means applicant named in the application form and includes its successors and interest.
  - "INTERNAL CLIENT ACCOUNT" shall mean the account opened by the CLIENT with the BROKER to deposit/sell/buy/securities with the Broker.
  - "APPROVED SECURITIES" shall mean the securities purchased into the "INTERNAL CLIENT ACCOUNT".
  - "PORTFOLIO VALUE" means total market value of all the stock in the "INTERNAL CLIENT ACCOUNT".
  - "MARGIN CALL" means total on request the "CLIENT" to deposit money or shares to bring the Debit Balance below 50% of the Portfolio Value within 24 hours.
  - "DEBIT BALANCE" shall mean the total value of cash owned by the CLIENT together with interest, brokerage and any other charges to the BROKER in the "INTERNAL CLIENT ACCOUNT" for securities transaction into the said account.
  - "DEBIT BALANCE" shall mean the total value of cash owned by the CLIENT together with interest, brokerage and any other charges to the BROKER in the "INTERNAL CLIENT ACCOUNT" for securities transaction into the said account.
2. The Client would be required to submit an application to the broker/dealer for the Margin Trading Facility.
  3. This facility granted under this account shall be a short term facility and shall be valid at the sole discretion of the Broker and repayable on demand of the Broker.
  4. The "broker" will have absolute discretion to accept or decline any security, against which credit facility is granted and shall reserve the right to make changes to their list of "approved securities" as any given moment.
  5. Only "Approved Securities" may be used as collateral for Margin Trading. A list of the "Approved Securities" which will be updated from time to time will be available with the broker/dealer.
  6. When a previously approved share is later disqualified from the approved list, it will be excluded from all subsequent margin calculations.
  7. The client which as a legal person (i. e., Trust of Company) shall be allowed to commence Margin Trading, only when it shall submit copies of the relevant extracts of constitutional documents (i. e., certified copy Trust Deed or Memorandum and Articles of Association as the case may be) and resolution that authorizes that specific entity and its representatives to conduct Margin Trading.
  8. Client will be allowed to transfer shares will be deposited to the internal Client Account/B.O Account or withdrawal fund from his B.O account or internal Client A/C provided that the client debit balance will remain below 50% of the portfolio value after the transaction.
  9. The client's contribution of shares will be deposited to the internal Client Account/ B.O Account. The Last traded price of the securities on the preceding market day shall be used to calculate portfolio value and should be updated on a daily basis.
  10. Using the credit facility the Client may purchase quoted securities through the Broker in to the "Internal Client" A/C to a maximum value of 50% of the "Portfolio value" or Tk. 50, 00,000/- whichever is lower. The Client shall at all times be responsible to maintain strictly the maximum limit of the "Debit Balance" at any given time.
  11. If debit balance goes above 50% of portfolio value due to fluctuation in the market or any other event, SAHCO Securities Ltd. will issue a "Margin Call" to the client. Margin call could be in writing or via telephone call.
  12. If client does not respond to Margin Call, in the case SAHCO Securities Ltd. will sell out whatever shares as the Broker see fit to regularize the "Debit balance" to 50% of the portfolio value (+/-5% deviation accepted in case of adjustment) and the broker/dealer May act upon as the Attorney of the client in Accordance with the terms thereof and in terms of the margin Rules.
  13. If the "Debit balance" reaches to 66% of portfolio value due to a sudden fall in the market or any other event then in such a case without any notice in that trading day of next day, SAHCO Securities Ltd. will sell out shares of any company whatsoever as it sees fit to make the Debit Balance regularized. Any residual shares will remain in the account and will be available to the client.
  14. If the client exceeds the value of the "Debit Balance" above the stipulated limit and/or violates any other terms and conditions/rules/requirements as laid down by the "Broker" or any regulatory authority from time to time, the Broker shall have absolute discretion at the "Clients" risk to sell/buy any or all shares or securities of any company whatsoever in the "Internal Client Account" without any consent of any form the Client in order to adjust the Debit Balance of the "Internal Client Account". In the event the Portfolio value of the "Internal Client Account" becomes inadequate to regularize the "Debit Balance" the Client shall be under obligation to make cash payment to settle any shortfall.
  15. The Client shall pay brokerage commission for all transaction i.e buy and sell, in the "Internal Client Account" The client shall also pay brokerage commission for all

**Force Majeur :** SAHCO Securities Ltd. shall not be liable for any loss, damages, expenses, costs or otherwise resulting directly or indirectly from any Government restriction, exchange ruling, suspension of trading, war, strike, national disaster or any other event or circumstance beyond its control.

**Forged Shares :** SAHCO Securities Ltd. shall not be liable or responsible for any shares that are found to be forged. However, SAHCO Securities Ltd. will make every attempt to replace the forged shares from the seller.

**Law:** The terms and conditions contained herein shall be governed by and be construed in accordance with the laws of Bangladesh & shall be subject to the exclusive jurisdiction of the courts of Bangladesh.

**Notices:**

- (a) All notices, instructions or other communications shall be given English and in writing (facsimile, telex, telegram, cable or letter) or orally and shall be sent to SAHCO Securities Ltd. and the account holder at the address, fax and/or telex number shown herein or at such other address as may be communicated by the parties hereto in writing.
- (b) Proof of delivery or dispatch shall be:
  - (i) in the case of a letter: dispatch of the letter duly stamped and addressed.
  - (ii) in the case of facsimile, telex, telegram or cable on the day of dispatch with mechanical confirmation.
- (c) All oral notices, instructions or other communications should be confirmed in writing within 24 hours.

**Definitions:** Words defined herein, save where a contrary meaning appears or such meaning is inconsistent with the context, shall have this same defined meaning wherever used.

**Sums due:** For all purpose, including any legal proceedings, a certificate by way of SAHCO Securities Ltd. officers confirming the monies and/or incurred to by the account holder shall be conclusive evidence thereof against him/her.

**Disputes and Resolution of Disputes:** In case of any dispute arising between SAHCO Securities Ltd. and the account holder in connection with the interpretation or enforcement of the terms and conditions contained herein, attempts should be made to settle the matter amicably, in case of failure to settle the matter amicably, the same shall be referred to arbitration of two arbitrators, each to be nominated by the parties who shall also appoint an umpire. The decision of the arbitrators, so nominated, shall be binding on both the parties. In case the Arbitrators differ in their finding, the decision of the umpire shall be final and binding on parties. The arbitration shall be concluded in, accordance with the Arbitration Act, 2001.

I/we have read the terms and conditions contained herein above, and having agreed with such terms and conditions. I/we put my/our/company seal and signature on this the day of

..... 201,.....

\_\_\_\_\_  
Signature of the Account Holder

\_\_\_\_\_  
Signature of the Joint Account Holder





Buy, Hold, Sell... Strategize  
UTC Tower (Level-1), 8 Pathpath, Dhaka-1215  
Bangladesh. Phone: 880 2 9103102; Fax: 880 2 9103103  
TREC Holder # 46 of Dhaka Stock Exchange Limited  
Full Service Depository Participant (DP)  
Under License from BSEC & CDBL, License No. 3.1/DSE-46/2013/513(BSEC)  
Email: contact@sahcosecurities.biz; Web: www.sahcosecurities.biz  
Branch: 9/F, DSE Main Building (8th floor), Room No. 717, Motijheel C/A, Dhaka-1000. Cell: 01705-793344

CDBL Bye  
Law form 02

## BO Account Opening Form [Bye Law 7.3.3 (b)]

Please complete all details in CAPITAL letters. Please fill all names correctly. All communication shall be sent only to the First Named Account Holder's correspondence address.

Application No:

Date:

Please Tick whichever is applicable

BO Category: Regular ☐

Omnibus ☐

Clearing ☐

BO Type: Individual ☐

Company ☐

Joint Holder ☐

Name of CDBL Participant (Up to 99 Characters) SAHCO Securities Ltd.

CDBL Participant ID

BO ID

5 6 8 0 0

1 2 0 5 6 8 0 0

I / We request you to open a Depository Account in my / our name as per the following details.

### 1. FIRST APPLICANT

Name in Full of Account Holder (Up to 99 Characters)

Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms. / Dr. abbreviate only if over 30 characters)

Title i.e. Mr. / Mrs. / Ms. / Dr.

(In case of a Company/Firm/Statutory Body) Name of Contact Person

In Case of Individual Male ☐ Female ☐ Occupation (30 Characters)

Father's / Husband's Name:

Mother's Name:

### 2. CONTACT DETAILS

Address:

City: Post Code: State / Division: Country: Telephone:

Mobile Phone: Fax: E-mail:

### 3. PASSPORT DETAILS

Passport No: Issue Place: Issue Date:  Expiry Date:

### 4. BANK DETAILS

Routing Number: Bank Account Number:

Bank Name: Branch Name: Dist:

Bank Identifier Code (BIC): SWIFT CODE: International Bank A/C No. (IBAN):

Electronic Dividend Credit: Yes ☐ No ☐ Tax Exemption if any: Yes ☐ No ☐ TIN / Tax ID:

### 5. OTHERS INFORMATION

Residency: Resident ☐ Non Resident ☐ Nationality: Date of Birth:

Statement Cycle Code: Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Other ☐ (Please Specify)

Internal Ref. No (To be filled in by CDBL Participant):

In Case of Company:

Registration No: Date of Registration:

### 6. JOINT APPLICANT (SECOND ACCOUNT HOLDER)

Name in Full (Up to 99 Characters):

Short Name of Joint Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms. / Dr. abbreviate only if over 30 characters)

Title i.e. Mr. / Mrs. / Ms. / Dr.

### 7. ACCOUNT LINK REQUEST

Would you like to create a link to your existing Depository Account?

Yes ☐ No ☐

If yes, then please provide the Depository BO Account Code (8 Digits):

### 8. NOMINEES/ HEIRS

If account holder(s) wish to nominate person(s) who will be entitled to receive securities outstanding in the account in the event of the death of the sole account holder / all the joint account holders, a separate nomination Form - 23 must be filled up and signed by all account holders and the nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details. If any nominee is a minor, guardian's name, address, relationship with nominee has also to be provided.

### 9. POWER OF ATTORNEY (POA)

If account holder(s) wish to give a Power of Attorney (POA) to someone to operate the account, a separate Form - 20 must be filled up and signed by all account holders giving the name, contact details etc. of the POA holder and a POA document lodged with the form.

### 10. TO BE FILLED IN BY THE STOCK BROKER / STOCK EXCHANGE IN CASE THE APPLICATION IS FOR OPENING A CLEARING ACCOUNT

Exchange Name: DSE ☒ Trading ID: .....

CSE ☐

Trading ID: .....

### 11. PHOTOGRAPH

Please paste recent  
passport size Photograph  
of 1st Applicant or  
Authorized Signatory  
in case of Limited Co. Only

1st Applicant or Authorized Signatory  
in case of Ltd Co

Please paste recent passport  
size Photograph of  
2nd Applicant or Authorized  
Signatory in case of  
Limited Co. Only

2nd Applicant or Authorized Signatory  
in case of Ltd Co.

Please paste recent passport  
size Photograph of  
Authorized Signatory in  
case of Limited Co. Only

Authorized Signatory in  
case of Ltd Co. Only

### 12. STANDING INSTRUCTIONS

I/We authorize you to receive facsimile (fax) transfer instructions for delivery.

Yes ☐

No ☐

### 13. DECLARATION

The rules and regulations of the Depository and CDSL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

| Applicants                   | Name of applicants / Authorized signatories in case of Ltd Co. | Signature with date |
|------------------------------|--|---------------------|
| First Applicant              |  |                     |
| Second Applicant             |  |                     |
| 3rd Signatory (Ltd Co. only) |  |                     |

### 14. SPECIAL INSTRUCTIONS ON OPERATION OF JOINT ACCOUNT

☐ Either or Survivor

☐ Any one Can operate

☐ Any two will operate jointly

☐ Account will be operated by ..... with any one of the others.

### 15. INTRODUCTION

Introduction by an existing account holder of .....

SAHCO SECURITIES LTD.

( Depository Participant's Name )

I confirm the identity, occupation and address of the applicant(s) .....

( Introducer's Name )

Account ID No:

1 2 0 5 6 8 0 0

(Signature of Introducer)

Authorized Signatory Accepting the Account



# SAHCO Securities Ltd.

Buy. Hold. Sell... Strategize

UTC Tower (Level-1), 8 Pathapath, Dhaka-1215  
Bangladesh, Phone: 880 2 9103102, Fax: 880 2 9103103

TREC Holder # 46 of Dhaka Stock Exchange Limited  
Full Service Depository Participant (DP)

Under License from BSEC & CDBL, License No. 3.1/DSE-46/2013/513(BSEC)

Email: [contact@sahcosecurities.biz](mailto:contact@sahcosecurities.biz), Web: [www.sahcosecurities.biz](http://www.sahcosecurities.biz)

Branch: 9/F, DSE Main Building (9th floor), Room No. 717, Motiheel C/A, Dhaka-1000. Cell: 01705-793344

Central Depository Bangladesh Limited  
Depository Account (BO Account) opened with CDBL Participants

## Terms and Conditions - [Bye Law 7.3.3 (C)]

### SAHCO Securities Ltd.

Depository Participant No. 56800

Dear Sir,

Please open a Depository account (BO Account) in my/our name(s) on the terms and conditions set out below. In consideration of SAHCO Securities Ltd. (the "CDBL Participant") opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User) Regulations 2003, and abide by the Bye Laws and Operating Instructions issued from time to time by CDBL.
2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/we instructs the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
4. I/we shall be responsible for:
  - (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
  - (b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization;
  - (c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
  - (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction / transfer;
  - (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination, signature, etc.;
  - (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
6. Where I/we have executed a BO Account Nomination Form:
  - a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account
  - b) In the event, the nominee so authorised remains a minor at the time of my/our death, the legal guardian is authorised to receive/draw the securities held in my/our account.
  - c) The nominee so authorised, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.
7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account this account may be closed by me/us in one of the following ways:
  - (a) By rematerialization of all existing balances in my/our account;
  - (b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s);
  - (c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my/our other account(s) with any other CDBL Participant(s).



8. CDBL Participant covenants that it shall

- a) Act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf.
- b) Not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
- c) Maintain adequate audit trail of the execution of the instructions of the Account Holder.
- d) Not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:
  - (i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
  - (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his / its constituted attorney available on the records of the CDBL Participant;
  - (iii) The balance of clear securities available in the Account Holder's account are sufficient to honour the Account Holder's instructions.
- e) Furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
- f) Promptly attend to all grievances / complaints of the Account Holder and shall resolve all such grievances / complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances / complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest

9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:

- (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
- (b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
- (c) Commits or participates in any fraud or other act of moral turpitude in his / its dealings with the CDBL Participant;
- (d) Otherwise misconducts himself in any manner.

10. Declaration and Signature

I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

| Applicants                   | Name of applicants / Authorized signatories in case of Ltd Co. | Signature with date |
|------------------------------|--|---------------------|
| First Applicant              |  |                     |
| Second Applicant             |  |                     |
| 3rd Signatory (Ltd Co. only) |  |                     |

**BO Account Nomination Form**

Please complete all details in CAPITAL letters. Please fill all names correctly. All communications shall be sent to the correspondence address of only the First Named Account Holder as specified in BO Account Opening Form - 02.

Application No.

Date:

Name of CDBL Participant (Up to 99 Characters) **SAHCO Securities Ltd.**

CDBL Participant ID

Account holder's BO ID

Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)

I / We nominate the following person(s) who is/are entitled to receive securities outstanding in my/our account in the event of the death of the sole holder / all the joint holders.

**1. NOMINEE / HEIRS DETAILS**

**Nominee 1**

Name in Full

Short Name of Nominee (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)

Title i.e. Mr. / Mrs.

Relationship with A/C Holder:  Percentage (%)

Address:

City:  Post Code:  State / Division:  Country:  Telephone:

Mobile Phone:  Fax:  E-mail:

Passport No:  Issue Place:  Issue Date:  Expiry Date:

Residency: Resident ☐ Non Resident ☐ Nationality:  Date of Birth:

**Guardian's Details (if Nominee is a Minor)**

Name in Full

Short Name (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)

Relationship with Nominee:  Date of Birth of Minor:

Maturity Date of Minor:

Address:

City:  Post Code:  State / Division:  Country:  Telephone:

Mobile Phone:  Fax:  E-mail:

Passport No:  Issue Place:  Issue Date:  Expiry Date:

Residency: Resident ☐ Non Resident ☐ Nationality:  Date of Birth:



## Nominee 2

CDBL Bye  
Laws form 23

Name in Full

Short Name of Nominee (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)

Title i.e. Mr. / Mrs.

Relationship with A/C Holder: Percentage (%)

Address:

City: Post Code: State / Division: Country: Telephone:

Mobile Phone: Fax: E-mail:

Passport No: Issue Place: Issue Date: Expiry Date:

Residency: Resident ☐ Non Resident ☐ Nationality: Date Of Birth: d d m m y y y y

### Guardian's Details (if Nominee is a Minor)

Name in Full

Short Name (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)

Relationship with Nominee: Date of Birth of Minor: Maturity Date of Minor:

Address:

City: Post Code: State / Division: Country: Telephone:

Mobile Phone: Fax: E-mail:

Passport No: Issue Place: Issue Date: Expiry Date:

Residency: Resident ☐ Non Resident ☐ Nationality: Date Of Birth: d d m m y y y y

## 2. PHOTOGRAPH OF NOMINEES / HEIRS

Please  
paste recent  
passport size  
Photograph

Nominee / Heir 1

Please  
paste recent  
passport size  
Photograph

Nominee / Heir 2

Please  
paste recent  
passport size  
Photograph

Guardian 1

Please  
paste recent  
passport size  
Photograph

Guardian 2

|                       | Name | Signature |
|-----------------------|------|-----------|
| Nominee / Heir 1      |      |           |
| Guardian 1            |      |           |
| Nominee / Heir 2      |      |           |
| Guardian 2            |      |           |
| First Account Holder  |      |           |
| Second Account Holder |      |           |

Photograph of Authorized  
Person attested by  
Account Holder

Chief Operating Officer

**SAHCO Securities Ltd.**

**LETTER OF AUTHORIZATION**

Dear Sir

**LETTER OF AUTHORIZATION**

I/We ..... S.o./W.o. .... of .....  
..... hereby Authorize Mr./Mrs. ....  
..... S.o./W.o. .... of .....

..... whose specimen signature is given below (hereinafter referred to as the 'Account Operator') to  
exclusively, buy, sell, transfer shares, debenture, stocks, bonds & other securities on behalf of me with regards to the "Securities Account" opened &  
maintain in my name with SAHCO Securities Ltd. submitted (hereinafter referred to as the Broker)

I/We hereby authorize & instruct the Broker to deal, buy, sell, transfer shares, stocks, debentures, bonds and other securities on verbal/written  
instructions of the Account Operator.

I/we also authorize the "Account Operator" to place buy/sell orders, receive confirmation note, receive and deliver cheques/cash/or shares/other  
securities on my/our behalf with regard to my/our "Securities Account)

I/We hereby declare that I/we are fully aware of all consequence of transaction that may be carried out on my/our behalf by the account operator & shall take  
responsibility for all such transaction as that of my/our own. I/we shall fulfill & abide by all rules & regulations described in the 'Securities Account Form' duly  
completed & signed by me/us, with regard to all transaction carried out by the 'Account Operator' without any demur of protest.

I/We hereby undertake and ensure to make good and compensate for any loss or damage incurred or sustained by the 'Broker', for any reason what so ever  
as a result of any transaction carried out by the "Account Operator"

Thank you

Your sincerely

.....  
(Signature of Principal Account Holder/Joint Account Holder)

Customer Code:

Date:

.....  
Signature of Account Operator

Date:

Witness: 1. Signature.....

Name:.....

Address:.....

Witness: 2. Signature.....

Name:.....

Address:.....



## APPLICATION FOR CREDIT UNDER MARGIN RULES

Internal Client ID No:

Beneficiary Owner Account No:

Name of the Applicant:

Father's/ Husband's/CEO's (In case of Firm or Company) Name:

Present Address:

Permanent Address:

Date of Birth:         Sex: ☐ Male ☐ Female

Nationality:  Occupation:  Phone No:

Name of the Joint Account Holder:

Father's/ Husband's Name:

Present Address:

Permanent Address:

Date of Birth:         Sex: ☐ Male ☐ Female

Nationality:  Occupation:  Phone No:

In case of Company: Registration No:  Date of Registration:

Bank Name:  Branch:  A/C No:

In case of Joint Account, the Account will be operated ☐ Jointly ☐ Anyone can Operate

I/we hereby declare the information given is true and correct.

Signature of the 1st Account holder

Date:

Signature of the 2nd Account holder

Date:

## TERMS AND CONDITIONS FOR OPENING A MARGIN ACCOUNT

### 1. DEFINITIONS

- "BROKER" means SAHCO Securities Ltd. and includes successors and interest.
- "CLIENT" means applicant named in the application form and includes its successors and interest.
- "INTERNAL CLIENT ACCOUNT" shall mean the account opened by the CLIENT with the BROKER to deposit/sell/buy/securities with the Broker.
- "APPROVED SECURITIES" shall mean the securities purchased into the "INTERNAL CLIENT ACCOUNT".
- "PORTFOLIO VALUE" means total market value of all the stock in the "INTERNAL CLIENT ACCOUNT".
- "MARGIN CALL" means total on request the "CLIENT" to deposit money or shares to bring the Debit Balance below 50% of the Portfolio Value within 24 hours.
- "DEBIT BALANCE" shall mean the total value of cash owned by the CLIENT together with interest, brokerage and any other charges to the BROKER in the "INTERNAL CLIENT ACCOUNT" for securities transaction into the said account.
- "DEBIT BALANCE" shall mean the total value of cash owned by the CLIENT together with interest, brokerage and any other charges to the BROKER in the "INTERNAL CLIENT ACCOUNT" for securities transaction into the said account.
2. The Client would be required to submit an application to the broker/dealer for the Margin Trading Facility.
3. This facility granted under this account shall be a short term facility and shall be valid at the sole discretion of the Broker and repayable on demand of the Broker.
4. The "broke" will have absolute discretion to accept or decline any security, against which credit facility is granted and shall reserve the right to make changes to their list of "approved securities" as any given moment.
5. Only "Approved Securities" may be used as collateral for Margin Trading. A list of the "Approved Securities" which will be updated from time to time will be available with the broker/dealer.
6. When a previously approved share is later disqualified from the approved list, it will be excluded from all subsequent margin calculations.
7. The client which as a legal person (i. e., Trust of Company) shall be allowed to commence Margin Trading, only when it shall submit copies of the relevant extracts of constitutional documents (i. e. certified copy Trust Deed or Memorandum and Articles of Association as the case may be) and resolution that authorizes that specific entity and its representatives to conduct Margin Trading.
8. Client will be allowed to transfer shares will be deposited to the internal Client Account/B.O Account or withdrawal fund from his B.O account or internal Client A/C provided that the client debit balance will remain below 50% of the portfolio value after the transaction.
9. The client's contribution of shares will be deposited to the internal Client Account/ B.O Account. The Last traded price of the securities on the preceding market day shall be used to calculate portfolio value and should be updated on a daily basis.
10. Using the credit facility the Client may purchase quoted securities through the Broker in to the "Internal Client" A/C to a maximum value of 50% of the "Portfolio value" or Tk. 50,00,000/- whichever is lower. The Client shall at all times be responsible to maintain strictly the maximum limit of the "Debit Balance" at any given time.
11. If debit balance goes above 50% of portfolio value due to fluctuation in the market or any other event, SAHCO Securities Ltd. will issue a "Margin Call" to the client. Margin call could be in writing or via telephone call.
12. If client does not respond to Margin Call, in the case SAHCO Securities Ltd. will sell out whatever shares as the Broker see fit to regularize The "Debit balance" to 50% of the portfolio value (+/-5% deviation accepted in case of adjustment) and the broker/dealer May act upon as the Attorney of the client in Accordance with the terms thereof and in terms of the margin Rules.
13. If the "Debit balance" reaches to 66% of portfolio value due to a sudden fall in the market or any other event then in such a case without any notice in that trading day or next day, SAHCO Securities Ltd. will sell out shares of any company whatsoever as it sees fit to make the Debit Balance regularized. Any residual shares will remain in the account and will be available to the client.
14. If the client exceeds the value of the "Debit Balance" above the stipulated limit and/or violates any other terms and conditions/rules/requirements as laid down by the "Broker" or any regulatory authority from time to time, the Broker shall have absolute discretion at the "Clients" risk to sell/buy any or all shares or securities of any company whatsoever in the "Internal Client Account" without any consent of any form the Client in order to adjust the Debit Balance of the "Internal Client Account". In the event the Portfolio value of the "Internal Client Account" becomes inadequate to regularize the "Debit Balance" the Client shall be under obligation to make cash payment to settle any shortfall.
15. The Client shall pay brokerage commission for all transaction i.e buy and sell, in the "Internal Client Account" The client shall also pay brokerage commission for all



transactions carried out in order to regularize the "Internal Client Account". Rates of brokerage will be fixed by the Broker and can change from time to time.

16. In the "Internal Client Account" if client enjoys margin facilities, in the case, highest limit to buy a single stock is Tk. 25,00,000/- or 50% of the portfolio value whichever is lower.
17. "Broker" shall have the authority to give notice to the client to adjust the debit balance in "Internal Client Account" within 20 trading days for whatever reason which "Broker" reserves the right to divulge to Client. If client does not respond to such notice Broker shall have absolute discretion at the Client's risk to Sell/Buy any or all shares or securities in any Company whatsoever in the Client's "Internal Client Account" without any consent of any form from the client in order to adjust the Debit Balance of the Internal Client Account.
18. "Broker" will charge client's account for any debit amount, calculated on a daily basis. Broker shall advise the interest rate to the client from time to time. Broker reserves the right to increase/decrease the interest rate by giving 7 trading days' notice to Client.
19. The "Internal Client Account" will be fully operated (All Buy/Sell decisions) by the client at all times other than in the instance of Broker regularizing the account.
20. Trading will take place in the same way as with normal accounts i.e. either by giving written order, by facsimile message or email.
21. Interest on the Margin Trading Facility is repayable on or before the end of every calendar month by way of depositing cash or adjustment in the Internal Client Account.
22. Paying off Debit Balance:  
  
To reduce or to pay off debit balance the client has to bring funds into the current account in either or both of the following ways:  
(a) By depositing funds directly to the client's Account.  
(b) By selling securities in Internal Client/BO Account and depositing sales proceeds to Internal Client Account.

The proceeds will first be used to pay off the client's debit balance whatever is left will be given to the client.

### **23. Security:**

The shares or cash brought by the client together with shares purchased by using debit balance will be considered as collateral for the margin Trading facility.

However, the shares brought by the client to cover Margin Requirement should meet following criteria:

- (a) The shares should be acceptable to the broker/dealer
- (b) The shares should be tradable in the DSE
- (c) The shares should possess reasonable liquidity

The broker/dealer may also take additional securities as collateral from the client.

### **24. The client hereby irrevocably authorizes the broker:**

- (a) To deal with all the client's securities deposited in the "Client Depository System" (CDS) from time to time and at all times whatsoever independently and at the sole discretion of the broker/dealer as long as the client's liabilities in the repayment of all such facilities remain outstanding.
- (b) To purchase and any such securities of all client deposited with "CDS" and to deal or negotiate with other institution engaged in the purchase or sale of any securities on the client's behalf and to deal with any other matters relating to the "Internal Client BO Account" with or without instructions given to the broker/dealer by the client and the client hereby agrees that he/it shall at all time rectify and endorse such acts of the broker/dealer. The broker/dealer shall not be liable for any loss to the client directly or indirectly resulting, from any such act of commission or omission.
- (c) To debit the "Internal Client Account" for any sums or sum of money being purchase price of marginable securities broker's commissions, payments for rights and benefits in respect of marginable securities, transaction fee, commission or for any payment whatsoever.
- (d) To issue Pay Orders of Bank Drafts of Transfer Funds by any means to any person or persons and for any amount in respect of any transaction pertaining to the business aforesaid.
- (e) To Deposit Cash, Cheque or other instruments into the "Internal Client Account".
- (f) Generally to do all things which the client is empowered to do in respect of the "Internal Client Account".
- (g) The client shall indemnify the broker in full and keep indemnified at all times against any losses, liabilities, expenses and cost whatsoever incurred by the broker as a result of any such transactions aforesaid.
- (h) Client further gives irrevocably power of attorney to the broker to sign CDBL pay in pay out instruction slip on behalf of the client as and when required.
- (i) To execute sell shares from Internal Client Account and subsequently sign pay instruction if situation arises.

### **25. The Broker agrees with the client as follows:**

- (a) Both the Broker and Client shall have the right at any time in its absolute discretion and without having to furnish any reason therefore to close the "Internal Client Account" by giving one month prior written notice of closure to the client and any debit of Internal Client Account (if any) will be adjusted as per rules 23 mentioned in the Terms & Conditions.
- (b) The broker/dealer shall act directly as the agent of the client in respect of all dealings of marginable securities and the client shall remain principally liable for all dealings, negotiations and transactions entered into by the Broker on behalf of the client.
- (c) To charge interest on all such amounts due and unpaid or spent on behalf of the client at rates determined by the broker, with Statutory Levies and the Broker shall have the right to debit the "Internal Client Account" with the same.
- (d) Where the client is in default of payment of legal fees, stamp duty or other out of pocket expenses incurred by the broker, the broker shall have the right and discretion to recover such expenses from the client by debiting the Internal Client Account.
- (e) The terms and conditions shall not be altered, amended or modified except in writing by the duly authorized representatives of each party hereto.

- (f) This agreement shall be governed and construed by the laws of the Peoples Republic of Bangladesh.
- (g) The client does hereby agree and irrevocably holds the broker indemnified, save and harmless against any losses, suits, claims and damages that the broker shall or may be caused, sustained, incurred, or suffered by reason in maintaining the client's accounts and using the Margin Trading Facilities in any manner whatsoever and/or acting on the instructions or requests, advises made or forwarded by way of telephone message or Facsimile messages and/or by accepting electronic messages exchanged.
- (h) In the event of the death of one of the joint account holder, the survivor and on the death of sole account holder, the nominee or successor shall be the beneficiary of the transactions.

I/we here declare the information given is true and correct. I also read and understood the Terms and Conditions to the account and agree to abide by the same.

I/we here declare the information given is true and correct. I also read and understood the Terms and Conditions to the account and agree to abide by the same.

\_\_\_\_\_  
Signature of the 1st Account Holder

\_\_\_\_\_  
Signature of the Joint Account Holder

**For Official Use Only:**

Date: 

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| d | d | m | m | y | y | y | y |
|---|---|---|---|---|---|---|---|

Client Code: 

|  |  |  |  |  |  |
|--|--|--|--|--|--|
|  |  |  |  |  |  |
|--|--|--|--|--|--|

\_\_\_\_\_  
Signature of the Dealing Officer

\_\_\_\_\_  
Signature of the Approving Authority



**KYC FORM**

Date:    /

**Particulars of Customer**

Name: .....

BO Account ID: ..... Internal Code: .....

Relationship with the Account: ☐ 1st Applicant ☐ 2nd Applicant

Father's Name: .....

Mother's Name: .....

Spouse Name: .....

Nationality: ..... National ID No: .....

TIN No: ..... Date of Birth: ..... Place of Birth: .....

Sex: ☐ Male ☐ Female

Occupation (with Designation): .....

Passport No: ..... Validity: ..... Place of Issue: .....

Driving License No: ..... Validity: ..... Place of Issue: .....

Other Identification (if any): .....

Marital Status: ☐ Single ☐ Married ☐ Widow ☐ Divorced/Separated

Education ☐ None ☐ Primary ☐ SSC/HSC ☐ Graduate/ Post Graduation

Residence Status: ☐ Resident ☐ Non-Resident

Present Address: (Resident) ..... ☐ Rented

Permanent Address: .....

Occupational Address: .....

If business, Please mention the nature of business: .....

**Contact:**

Telephone No (Residence): ..... Telephone No (Office): .....

Cell Phone: .....

Fax No: ..... E-mail: .....

Personal Income Tk. (per month): .....

Household Income Tk. (per month): .....

**Existing Investment Banking Relationship:**

1. Name of the Merchant Bank/ Broker Firm: .....  
Branch: ..... Account No: .....

2. Name of the Merchant Bank/ Broker Firm: .....  
Branch: ..... Account No: .....

Nature of Relationship with SAHCO Securities Ltd.

If you are relative of Director/ Employee of SAHCO Securities Ltd.

## Account Holder Transaction Portfolio

1. Account Holder Name: .....
2. Account No: .....
3. Present/ Mailing Address: .....
4. Permanent Address: .....
5. Monthly Transaction Summary: .....

### a) Deposit:

| Transaction Type  | Quantity of Transaction (Per Month) | Maximum Transaction (Per Month) |
|-------------------|-------------------------------------|---------------------------------|
| Cash Deposit      |                                     |                                 |
| Cheque Deposit    |                                     |                                 |
| Inflow Remittance |                                     |                                 |
| Transfer Deposit  |                                     |                                 |
| Others            |                                     |                                 |

### b) Withdraw:

| Transaction Type   | Quantity of Transaction (Per Month) | Maximum Transaction (Per Month) |
|--------------------|-------------------------------------|---------------------------------|
| Cash Withdraw      |                                     |                                 |
| Cheque Withdraw    |                                     |                                 |
| Outflow Remittance |                                     |                                 |
| Transfer Withdraw  |                                     |                                 |
| Others             |                                     |                                 |

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

.....  
Signature of A/C Holder

Date: .....









**BUY ORDER**

Date: 

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|

|          |   |   |   |   |   |   |   |   |  |  |  |  |  |  |  |
|----------|---|---|---|---|---|---|---|---|--|--|--|--|--|--|--|
| BOD      | 1 | 2 | 0 | 5 | 6 | 8 | 0 | 0 |  |  |  |  |  |  |  |
| Code No: |   |   |   |   |   |   |   |   |  |  |  |  |  |  |  |

Please Sale on my 'our behalf' the following Securities

[illegible]

The above order is valid for \_\_\_\_\_ days from the date I/we have deposited the required money to buy the above securities in advance.

Customer Signature \_\_\_\_\_

Received the required money ..... in trust for execution of the order.

Authorized Signature \_\_\_\_\_

**BUY ORDER**

Date: \_\_\_\_\_  
Time: \_\_\_\_\_

SOD: 1 2 0 5 6 8 0 0
Code No:

Please Sale on my behalf the following Securities

[illegible]

The above order is valid for \_\_\_\_\_ days from the date I/we have deposited the required money to buy the above securities in advance.

Customer Signature \_\_\_\_\_

Received the required money ..... in trust for execution of the order.

Authorized Signature